



Enhanced Competency Framework (ECF) on Retail Wealth Management (Core & Professional Level) Training and Examination Schedule – 2021/2022

Important Notes:

1. HKIB has been appointed as the Professional Qualification Assessment Agency effective from 1 August 2020.
2. Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue a **QF-recognised Professional Qualification** (i.e. ARWP*/CRWP#.).
3. Learners who have attended the same training earlier are welcome to attempt the examinations in the below intakes.

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

*Recognised under the QF at Level 4, QR Registration No.: 20/000536/L4, Validity Period from 01/08/2020 to 31/07/2025

#Recognised under the QF at Level 5, QR Registration No.: 20/000539/L5, Validity Period from 01/08/2020 to 31/07/2025

Training: Q3 – Q4 2021



IA CPD¹



HKIB CPD²



SFC CPT



WAM Programme³



FLEX Learning⁴

Module Name	Module No.	Code	Date	Time	Language (Supplemented with English materials)	Application Deadline ⁵	Fee ⁶ (HKD)
Essentials of Banking (Credit: 20)	3	HQRM003PP210701 FLEX Learning – Virtual Classroom	2-Jul-21 (Fri) 9-Jul-21 (Fri) 16-Jul-21 (Fri) 23-Jul-21 (Fri) 30-Jul-21 (Fri)	19:00 – 22:00	Cantonese	17-Jun-21 (Thu)	3,900
		HQRM003PP211001 FLEX Learning – Virtual Classroom	2-Oct-21 (Sat) 9-Oct-21 (Sat) 16-Oct-21 (Sat)	10:00 – 13:00, 14:00 – 17:00 on 2 Oct & 9 Oct 10:00 – 13:00 on 16 Oct		16-Sep-21 (Thu)	3,900
		HQRM003PP211101 FLEX Learning – Virtual Classroom	3-Nov-21 (Wed) 10-Nov-21 (Wed) 17-Nov-21 (Wed) 24-Nov-21 (Wed) 1-Dec-21 (Wed)	19:00 – 22:00	English	20-Oct-21 (Wed)	3,900
Insurance and Retirement Planning (Credit: 20)	4	HQRM004PP211001 FLEX Learning – Virtual Classroom	8-Oct-21 (Fri) 15-Oct-21 (Fri) 22-Oct-21 (Fri) 29-Oct-21 (Fri) 5-Nov-21 (Fri)	19:00 – 22:00	Cantonese	23-Sep-21 (Thu)	3,900
Investment and Asset Management: Product Solutions (Credit: 20)	5	HQRM005PP210801 FLEX Learning – Virtual Classroom	2-Aug-21 (Mon) 9-Aug-21 (Mon) 16-Aug-21 (Mon) 23-Aug-21 (Mon) 30-Aug-21 (Mon)	19:00 – 22:00		19-Jul-21 (Mon)	3,900
		HQRM005PP211101 FLEX Learning – Virtual Classroom	3-Nov-21 (Wed) 10-Nov-21 (Wed) 17-Nov-21 (Wed) 24-Nov-21 (Wed) 1-Dec-21 (Wed)	19:00 – 22:00		20-Oct-21 (Wed)	3,900
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	HQRM006PP210901 FLEX Learning – Virtual Classroom	2-Sep-21 (Thu) 9-Sep-21 (Thu) 16-Sep-21 (Thu) 23-Sep-21 (Thu) 30-Sep-21 (Thu)	19:00 – 22:00		19-Aug-21 (Thu)	3,900
		HQRM006PP211201 FLEX Learning – Virtual Classroom	1-Dec-21 (Wed) 8-Dec-21 (Wed) 15-Dec-21 (Wed) 22-Dec-21 (Wed) 29-Dec-21 (Wed)	19:00 – 22:00		17-Nov-21 (Wed)	3,900



Financial Planning and Wealth Management (Credit: 20)	7	HQRM007PP210801 FLEX Learning – Virtual Classroom	3-Aug-21 (Tue) 10-Aug-21 (Tue) 17-Aug-21 (Tue) 24-Aug-21 (Tue) 31-Aug-21 (Tue)	19:00 – 22:00	Cantonese	20-Jul-21 (Tue)	3,900
		HQRM007PP211101 FLEX Learning – Virtual Classroom	22-Nov-21 (Mon) 29-Nov-21 (Mon) 6-Dec-21 (Mon) 13-Dec-21 (Mon) 20-Dec-21 (Mon)	19:00 – 22:00		8-Nov-21 (Mon)	3,900

Examination: Q3 2021 – Q2 2022

Module Name	Module No.	Code	Date	Time	Application Deadline ⁵	Fee ⁶ (HK\$)
Essentials of Banking (Credit: 20)	3	EXAM-2021-00067	16-Sep-21 (Thu)	19:00 – 21:30	16-Aug-21 (Mon)	1,060
		EXAM-2020-00290	16-Nov-21 (Tue)	19:00 – 21:30	15-Oct-21 (Fri)	1,060
		EXAM-2021-00136	16-Dec-21 (Thu)	19:00 – 21:30	12-Nov-21 (Fri)	1,060
		TBC	24-Feb-22 (Thu) (Tentative Schedule)	19:00 – 21:30 (Tentative Schedule)	21-Jan-22 (Fri) (Tentative Schedule)	TBC
Insurance and Retirement Planning (Credit: 20)	4	EXAM-2021-00085	2-Dec-21 (Thu)	19:00 – 21:30	3-Nov-21 (Wed)	1,060
Investment and Asset Management: Product Solutions (Credit: 20)	5	EXAM-2020-00313	14-Sep-21 (Tue)	19:00 – 21:30	13-Aug-21 (Fri)	1,060
		EXAM-2020-00316	7-Dec-21 (Tue)	19:00 – 21:30	5-Nov-21 (Fri)	1,060
		TBC	23-Mar-22 (Wed) (Tentative Schedule)	19:00 – 21:30 (Tentative Schedule)	18-Feb-22 (Fri) (Tentative Schedule)	TBC
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	EXAM-2020-00299	21-Oct-21 (Thu)	19:00 – 21:30	17-Sep-21 (Fri)	1,060
		TBC	18-Jan-22 (Tue) (Tentative Schedule)	19:00 – 21:30 (Tentative Schedule)	17-Dec-21 (Fri) (Tentative Schedule)	TBC
		TBC	22-Apr-22 (Fri) (Tentative Schedule)	19:00 – 21:30 (Tentative Schedule)	11-Mar-22 (Fri) (Tentative Schedule)	TBC
Financial Planning and Wealth Management (Credit: 20)	7	(Multiple Choice) EXAM-2020-00304	24-Sep-21 (Fri)	TBC	20-Aug-21 (Fri)	1,060 ⁸
		(Case & Oral) ⁷ EXAM-2021-00014	26-Sep-21 (Sun)	TBC	20-Aug-21 (Fri)	
		(Multiple Choice) TBC	22-Apr-22 (Fri) (Tentative Schedule)	TBC	25-Mar-22 (Fri) (Tentative Schedule)	TBC
		(Case & Oral) ⁷ TBC	24-Apr-22 (Sun) (Tentative Schedule)	TBC	25-Mar-22 (Fri) (Tentative Schedule)	


Special Note:

- Learners are required to complete the training before attempting the examination
- For Modules 1, 2 & 4, please contact HKIB for further details

Programme Details

- Programme/
Module Outline : Please refer to the programme brochure and the handbook for details.
- Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central, HK (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)
- Enrolment : By email at application@hkib.org or submit to our institute in person or by mail

Notes:

Note	Category	Details
1 & 2	CPD	- IA CPD will be applicable to Modules 3, 5, 6 & 7 only - By attending the above training, Professional Qualification holders of CB (Stage I), CB (Stage II), CB, CFMP, ARWP, CRWP, ACsP, ACRP, CCRP(CL) and CCRP(CPM) are eligible to claim HKIB CPD hours.
3	WAM	 The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: www.wamtalent.org.hk .
4	FLEX Learning	The training sessions will be conducted via virtual classroom training delivery mode. Participants can use their own PC or mobile device, and do not need to come to our premises to attend the training.
5	Late Application	A late entry fee of HKD200 will apply for late training and examination enrolment respectively.
6	Fee Discount	HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.
7	Examination (Time)	Exam candidates of M7 Case & Oral will be informed of the exam time via Exam Attendance Notice. Exam candidates are recommended to reserve the day.
8	Examination (Fee)	The re-sitting examination fees for M7 Multiple Choice and M7 Case & Oral are HKD1,060 respectively.
9	Others	HKIB reserves the right to cancel, modify, and/or postpone the training and/or examination.

For General Enquiries / Feedback:

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